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Appln. Trans.
PATENT

UTILITY PATENT
APPLICATION
TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Attorney Docket No. A31488-II 065360.0142

First Named Inventor Rothman et al.

Express Mail Label No. EK839861833US

Total Pages 100

October 26, 2000

BY EXPRESS MAIL - Label No. EK839861833US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Rothman et al. entitled KDEL RECEPTOR
INHIBITORS

which includes:

<input checked="" type="checkbox"/> Specification	<u>27</u> Total Pages
<input checked="" type="checkbox"/> Claims	<u>4</u> Total Pages
<input checked="" type="checkbox"/> Abstract	<u>1</u> Total Pages
<input checked="" type="checkbox"/> Drawing(s)	<u> </u> Total Sheets
<input checked="" type="checkbox"/> formal	<u>30</u> Total Sheets
<input checked="" type="checkbox"/> informal	<u>30</u> Total Sheets

☒ Combined Declaration and Power of Attorney 8 Total Pages

☐ Newly executed (original or copy)

☒ Copies from a prior application

(for continuation/divisional only - must be filed to avoid surcharge for late filing)

If a continuing application, check appropriate box:

☐ Continuation ☒ Divisional
of prior application No. 09/124,671

☐ Continuation-In-Part (CIP)

☒ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☒ divisional ☐ continuation-in-part
of copending application Serial No. 09/124,671 filed July 29, 1998."

Attorney Docket No. A31488-II 065360.0142

- ☒ An Assignment of the invention to SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH.
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
☐ will follow.
☒ has been filed in the prior application

- ☐ Small Entity Statement(s) **ENCLOSED**.
☒ Small Entity Statement filed in prior application. Status still proper and desired.
☐ Information Disclosure Statement (IDS) PTO-1449
☐ Copies of IDS Citations.

☒ Preliminary Amendment (with hard copy of Sequence Listing and a soft copy in a diskette)

☒ Return Receipt Postcard

☒ Other : Check for \$355.00

☒ Cancel in this application original claims 1-19 and 38-43 of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

<u>FOR</u>	(Col. 1)			(Col. 2)			Small Entity		OR	Other Than A Small Entity	
	<u>No.</u>	<u>Filed</u>		<u>No.</u>	<u>Extra</u>		<u>Rate</u>	<u>Fee</u>		<u>Rate</u>	<u>Fee</u>
Basic Fee											
Total Claims	18	-20	=	0	x	9	=	\$0.00	x	18	= \$0.00
Ind. Claims	2	-3	=	0	x	40	=	\$0.00	x	80	= \$0.00
Multiple Dependent Claim						+ 135			+ 270		
Total								\$0.00	\$0.00		

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☒ A check in the amount of \$355.00 to cover filing fee is enclosed.

Priority

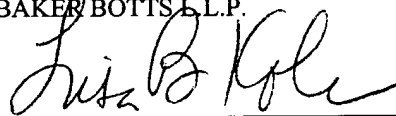
☐ Priority of application Country __, Appln. No. __ filed __ is claimed under 35 U.S.C. 119.

☐ Certified Copy of Priority Document(s) Country __, Appln No. __, filed __.

☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N __.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

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Enclosures